

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility ApplicationsPATENT
APPLICATIONCOMPLETION Under
Rule 53(f)

Attn: Application Division

In re PATENT APPLICATION of

Inventor(s): Daniel Djakiew

Appln. No.: 10 | 071,648
Series Code 0 | Serial No. 0Atty.Dkt. P | 0280704 | DJDA421003
M# | Client Ref

Filed: February 11, 2002

Title: Methods for the Treatment and Diagnosis of Prostate Cancer Based on P75NTR Tumor Suppression

Hon. Commissioner of Patents
Washington, DC 20231

Date: June 4, 2002

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☐ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☒ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
- a. ☐ Abstract
- b. # pages of Specification (only spec. & claims)
- c. ☐ Drawing(s) No of Sheets
- ☐ Fig(s).
4. ☒ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/268,940	February 16, 2001	(2)	
(3)		(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in
- 8.

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(f)

9. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
10. Small Entity Status ☐ is Not claimed ☒ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee Design Application				\$330/\$165		106/26
Not Design Application				\$740/\$370	+210	101/201
14. Total Effective Claims	34	minus 20 =	14	x \$18/\$9	+126	103/203
15. Independent Claims	8	minus 3 =	5	x \$84/\$42	+210	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+65	105/205
18.				FILING FEE =	\$611	
19. Original due date: May 28, 2002						
20. Petition is hereby made to extend the original due date to				(1 mo)	\$110/\$55 =	+55
cover the date this response is filed for which the requisite fee				(2mos)	\$400/\$200 =	115/215
is attached				(3mos)	\$920/\$460 =	116/216
				(4mos)	\$1,440/\$720 =	117/217
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee.				\$40	+40	581
23. Petition Fee for				\$130	+0	
24.				TOTAL FEE =	\$706	

**PLEASE CHARGE
OUR DEP. ACCT.**

Our Deposit Account No. 03-3975

Our Order No. 082137

C#

0280704

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

 1600 Tysons Boulevard
McLean, VA 22102
Tel: (703) 905-2000
By Atty: Robin L. TeskinReg. No. 35,030Sig: 
 Fax: (703) 905-2500
Tel: (703) 905-2200

Atty/Sec: RL/T/LAK

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re **PATENT APPLICATION** of **JUN 04 2002**
 Inventor(s): Daniel Djakiew
 Appln. No.: 10 071.648
Series Code ↑ **Serial No.** ↑

GAU: 1642
 Atty. Dkt. P 0280704 | DJDA421003
M# | **Client Ref**

Filed: February 11, 2002

Title: Methods for the Treatment and Diagnosis of Porstate
 Cancer Based on P75NTR Tumor Suppression

Date: June 4, 2002

FILING OF FORMAL DRAWING(S)

Hon. Commissioner of Patents
 Washington, D.C. 20231

Sir:

- Please accept the herewith 20 replacement sheet(s)
- of formal drawing(s) on ☒ A4 ☐ 11" size paper
- of Figure(s) 1-24
- of which Figure(s) 1-4D, 7A-13B, 15A-16, 18-23D are **black and white photographic drawings (DO NOT use this form for photographic drawings in color (see PAT-280).**
- ☒ which is/are in lieu of the informal drawing(s) filed earlier.
- ☒ which include the corrections required/approved by the Draftsperson/Examiner
- In the Notice to File Missing Parts Dated March 28, 2002

NOTE

- PTO waived requirement for 3 sets of B/W photos June 9, 1998, 1211 OG 34;
- PTO waived requirement for petition and petition fee for B/W photos August 4, 1998, 1213 OG 108.
- Petition and fee are still required for photographs which are in color. See Rule 84(a)(2).

A,B,C were confirmed in 9/8/00 Rule 84 changes

		Large/Small Entropy	Fee Code	
8. Original due date: February 5, 2002	<input type="checkbox"/> NONE			
9. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =	\$55	115/215
	(2 mos)	\$390/\$195 =		116/216
	(3 mos)	\$890/\$445 =		117/217
TOTAL FEE ENCLOSED			\$55	

10.

(Our Deposit Account No. 03-3975)

(Our Order No. 082137

0280704

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached. **This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.**

Pillsbury Winthrop LLP
Intellectual Property Group

1600 Tysons Boulevard

By Atty: Robin L. Teskin

Reg. No. 35,030

McLean, VA 22102

Tel: (703) 905-2000

Atty/Sec: RLT/lak

Sig: RLT

Fax: (703) 905-2500

Tel: (703) 905-2000

NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,648	02/11/2002	Daniel Djakiew	P 0280704 DJDA421003

CONFIRMATION NO. 1853

FORMALITIES LETTER



0C000000007738591

00909
PILLSBURY WINTHROP, LLP
P.O. BOX 10500
MCLEAN, VA 22102

Date Mailed: 03/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is insufficient.
Applicant must submit \$ 210 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$336.
 - \$126 for 14 total claims over 20.
 - \$210 for 5 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 611.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

06/06/2002 HBIZUNES 00000011 033975 10071648

01 FC:201	210.00 CH
02 FC:203	126.00 CH
03 FC:202	210.00 CH
04 FC:205	65.00 CH

A copy of this notice MUST be returned with the reply.

M. HAIR

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE